

**Annexure-B**

Format for Investor Complaints Data to be displayed by Stock Broker on their respective websites

**Data for every month ending**

S N	Receive d from	Carried forward from previous month	Receive d during the month	Total P ending	Resolve d*	Pending at the end of the month**		Average Resoluti on time^(in days)
						Pendin g for less than 3 months	Pendin g for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0		--
2	SEBI (SCORE S)	0	0	0	0	0		--
3	Stock Exchang es	0	0	0	0	0		--
4	Other Sources (if any)	0	0	0	0	0		--
5	<b>Grand Total</b>	0	0	0	0	0		--

**Trend of monthly disposal of complaints**

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6

1	April-2021	0	0	0	---
2	May-2021	0	0	0	---
3	June-2021	0	0	0	---
4	July-2021	0	0	0	---
5	Aug-2021	0	0	0	---
6	Sept-2021	0	0	0	---
7	Oct-2021	0	0	0	---
8	Nov-2021	0	0	0	---
9	Dec-2021	0	0	0	---
10	Jan-2022	0	0	0	---
11	Feb-2022	0	0	0	---
12	March-2022	0	0	0	---
	<b>GrandTotal</b>	0	0	0	

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

### **Trend of annual disposal of complaints**

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	0	0	0
	<b>GrandTotal</b>	0	0	0	0